

OFFICE OF THE KANSAS SECURITIES COMMISSIONER

FORM NF FILING FEE INCREASES

Effective May 15, 2009

Kansas Administrative Regulation 81-5-14 has been amended, and effective May 15, 2009, filing fees for initial and renewal notices of mutual funds and unit investment trusts will be as follows:

Mutual Funds

(Includes open-end and closed-end)

Changing from \$500 to \$750 per portfolio

Unit Investment Trusts

Changing from \$200 to \$500

The post-effective fee for name changes will continue to be \$100

Initial Filings – The new filing fee above will be required for initial filings on Form NF received on or after May 15, 2009.

Renewal Filings – The new filing fee above will be required to renew Forms NF that expire on or after May 15, 2009 – even if the renewals were already filed or will be filed before May 15, 2009.

If a Form NF and fee of \$500 have already been submitted for renewal of a notice filing for a mutual fund portfolio with an expiration date on or after May 15, 2009, it will be necessary to remit an additional \$250 for the renewal. If an additional fee is due, please file a copy of the Form NF that was filed for the renewal and indicate that it is an AMENDMENT.

Amended K.A.R. 81-5-14 will be published in the *Kansas Register* on April 30, 2009. If you have any questions, please contact:

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